CMMC C3PAO

CMMC assessments are formal, independent evaluations conducted to determine whether an organization meets the cybersecurity requirements specified in Department of Defense contracts. For CMMC Level 2, assessments must be performed by an authorized Certified Third-Party Assessor Organization (C3PAO) and are governed by the CMMC Assessment Process (CAP) and DoD-approved assessment guides.

This checklist is intended to explain what organizations can expect during a CMMC Level 2 assessment, including how assessments are planned, executed, and reported. It focuses exclusively on assessment activities and does not include readiness, remediation, or implementation guidance.

Confirm Assessment Eligibility

- [ ] Applicable CMMC level is contractually required
- [ ] Organizational preparation activities are complete
- [ ] Scope is defined and documented prior to assessment

Engage an Authorized C3PAO

- [ ] Select a C3PAO listed in the Cyber AB Marketplace
- [ ] Confirm assessor independence and conflict screening
- [ ] Establish assessment schedule and logistics

Assessment Planning & Scope Confirmation

- [ ] Validate the System Security Plan (SSP) against defined scope
- [ ] Confirm in-scope systems, assets, and environments
- [ ] Finalize assessment boundaries and interview schedule

Assessment Execution

- [ ] Assessors examine documentation and objective evidence
- [ ] Personnel interviews are conducted
- [ ] Technical and procedural safeguards are tested
- [ ] Practices are evaluated against DoD-defined assessment objectives

Findings, Scoring, and Reporting

- [ ] Evidence is documented for each requirement
- [ ] Deficiencies are identified where applicable
- [ ] Results are scored using the CMMC scoring methodology
- [ ] Assessment results undergo internal quality review

Assessment Outcome

- [ ] Results are submitted in accordance with CMMC requirements
- [ ] Certification determination is made based on assessment results and DoD processes

CMMC assessments are strictly evaluative. C3PAOs do not provide advisory, remediation, implementation, or readiness services to organizations they assess.

About RSI Security

RSI Security conducts independent CMMC Level 2 assessments as an authorized Certified Third-Party Assessor Organization (C3PAO), accredited by Cyber AB. Assessment activities are performed in accordance with the CMMC Assessment Process (CAP) and Department of Defense requirements.

www.rsisecurity.com | (858) 999-3030 | info@rsisecurity.com